



KUHNS BROTHERS SECURITIES CORPORATION

Privacy Policy

According to the Gramm-Leach-Bliley Act and the Securities and Exchange Commission's (SEC) Regulation S-P, KBSC is required to notify our clients of our privacy policy.

The following information is being provided to you in addition to any other disclosures provided by our clearing firm.

At KBSC, we recognize the importance of protecting your privacy and we have policies in place to maintain the confidentiality and security of your personal information. The following policy is designed to help you understand what information we collect from you and how we use that information to serve your account, working on behalf of your financial organization.

CONFIDENTIALITY AND SECURITY

WE RESTRICT ACCESS TO NONPUBLIC, PERSONAL INFORMATION ABOUT YOU TO THOSE EMPLOYEES AND AGENTS WHO NEED TO KNOW THAT INFORMATION TO PROVIDE PRODUCTS OR SERVICES TO YOU. WE MAINTAIN PHYSICAL, ELECTRONIC, AND PROCEDURAL SAFEGUARDS TO PROTECT YOUR NONPUBLIC, PERSONAL INFORMATION.

CATEGORIES OF INFORMATION THAT KBSC DISCLOSES AND PARTIES TO WHOM KBSC DISCLOSES

WE DO NOT DISCLOSE ANY NONPUBLIC, PERSONAL INFORMATION ABOUT OUR CLIENTS OR FORMER CLIENTS TO ANYONE, EXCEPT AS PERMITTED BY LAW.

Categories of Information That KBSC Collects

We collect nonpublic, personal information about you from the following sources:

- INFORMATION WE RECEIVE FROM YOU ON APPLICATIONS OR OTHER FORMS.
- INFORMATION ABOUT YOUR TRANSACTIONS WITH US, OUR AFFILIATES, OR OTHERS.
- INFORMATION WE RECEIVE FROM A CONSUMER REPORTING AGENCY.

Feel free to contact us at 860-435-7000 if you have any need for additional information.
